

Approved for use through 10/31/2002, DMB 0851-0032
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CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable:
☐ DUPLICATE

Address to: Assistant Commissioner for Patents Box CPA Washington, DC 20231	Attorney Docket No. of Prior Application	030639.0043.UTL
	First Named Inventor	Arnold Beeley
	Examiner Name	Davenport, A.
	Group Art Unit	1653
	Express Mail Label No.	Via Facsimile

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d),
(continued prosecution application (CPA)) of prior application number 09 / 003,869
filed on 1/7/98, entitled Use of Exendins and Agonists Thereof for the
Reduction of Food Intake

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(e).

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

- ☐ Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application.
- ☒ A preliminary amendment is enclosed.
- This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - ☐ DELETE the following inventor(s) named in the prior nonprovisional application:
.....
 - ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
- ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
- Information Disclosure Statement (IDS) is enclosed:
 - ☐ PTO-1449
 - ☐ Copies of IDS Citations

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231.

PTO/SB/29 (10-00)

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c) or (i))		-20* =		x \$ _____ =	\$
INDEPENDENT CLAIMS (37 CFR 1.16(b) or (j))		-3** =		x \$ _____ =	
MULTIPLE DEPENDENT CLAIMS (If applicable) (37 CFR 1.16(d))				+ \$ _____ =	
				BASIC FEE (37 CFR 1.16)	740.00
				Total of above Calculations =	740.00
				Reduction by 50% for filing by small entity (Note 37 CFR 1.27).	370.00
				* Release claims in excess of 20 and over original patent. ** Release independent claims over original patent.	TOTAL = 370.00

6. ☒ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50 1273:
- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.
8. ☐ A check in the amount of \$ _____ is enclosed.
9. ☐ Payment by credit card. Form PTO-2038 is attached.
10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) for a period of _____ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.
11. ☒ New Attorney Docket Number, if desired 030639.0043.CPA1
(Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.)
12. a. ☒ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)
- b. ☐ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)
13. ☐ Other: _____

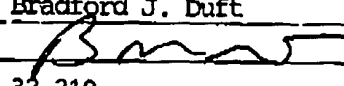
NOTE:

The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

14. NEW CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label		or <input checked="" type="checkbox"/> New correspondence address below (Insert Customer No. or Attach bar code label here)	
Name	Bradford J. Duft, Esq.		
	Brobeck, Phleger & Harrison, LLP		
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		Zip Code	92130-2081
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15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Bradford J. Duft
Signature	
Registration No. (Attorney/Agent)	32,219
Date	23 JAN 02

Patent
030639.0043.CPA1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Arnold Beeley, et al.

Serial No.: 09/003,869

Filed: January 7, 1998

For: USE OF EXENDINS AND AGONISTS
THEREOF FOR THE REDUCTION OF
FOOD INTAKE

Group Art Unit: 1653

Examiner: A. Davenport

TRANSMITTAL LETTER

Commissioner for Patents
BOX CPA
Washington, D.C. 20231

Sir:

Enclosed are the following documents:

- Continued Prosecution Application (CPA) Request Transmittal;
- Preliminary Amendment; and
- Receipt for Facsimile Transmitted CPA.

CERTIFICATE OF TRANSMISSION
(37 C.F.R. §1.8)

I hereby certify that this paper (along with anything referred to as being attached or enclosed) is being transmitted, via facsimile, to the United States Patent and Trademark Office on the date indicated below.

BARBARA J. KIELT
Name of Person Transmitting Paper

January 23, 2002
Date

Barbara J. Kielt
Signature of Person Transmitting Paper

C:\WP6\mbf\SDTLB1\BK4421717_1.DOC


Transmittal Letter
Serial No.: 09/003,869
Page 2 of 2

The Commissioner is authorized to charge any fee required to our Deposit Account No. 50-
1273.

Respectfully submitted,

BROBECK, PHLEGER & HARRISON LLP

Dated: 23 Jan 02

By: 
Bradford J. Duft
Reg. No. 32,219

BJD:jxb

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FACSIMILE TRANSMISSION

Transmission problems: Barbara Kielt

January 23, 2002

FAX RECEIVED

JAN 24 2002

GROUP 1600

OFFICIAL**Brobeck**

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TO					
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MESSAGE

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